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## UNITED STATES PATENT AND TRADEMARK OFFICE

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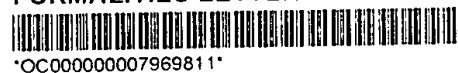
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/074,596         | 02/12/2002          | Michael G. Rosenblum  | CLFR:007US 10021255    |

FULBRIGHT & JAWORSKI L.L.P.  
A REGISTERED LIMITED LIABILITY PARTNER  
SUITE 2400  
600 CONGRESS AVENUE  
AUSTIN, TX 78701



CONFIRMATION NO. 4852

## FORMALITIES LETTER



\*OC000000007969811\*

Date Mailed: 04/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
ORIGINALLY FILED

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$780 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

06/20/2002 HPRASRD 00000001 10074596

SUMMARY OF FEES DUE:

01 FC:202 168.00 OP  
02 FC:203 504.00 OP

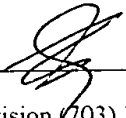
Total additional fee(s) required for this application is \$1215 for a Small Entity

- \$370 Statutory basic filing fee.

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$780
  - \$612 for 68 total claims over 20.
  - \$168 for 4 independent claims over 3.

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MP/£

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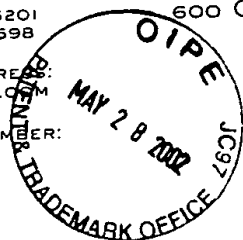
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May 13, 2002

FILE: CLFR:007US

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CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

May 13, 2002  
Date

Gina N. Shishima

BOX MISSING PARTS

Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application No. 10/074,596 entitled "MODIFIED PROTEINS, DESIGNER TOXINS, AND METHODS OF MAKING THEREOF" – Michael G. Rosenblum and Lawrence Cheung*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed April 26, 2002;
- (2) Declaration;
- (3) Power of Attorney;
- (4) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(c)-Filing Date Granted;
- (5) Checks in the amount of \$780.00 and \$435.00; and
- (6) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

Commissioner for Patents

May 13, 2002

Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10201454/GNS.

Respectfully submitted,



Gina N. Shishima

Reg. No. 45,104

GNS/mar

Encl: as noted

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Michael G. Rosenblum  
Lawrence Cheung

Serial No.: 10/074,596

Filed: February 12, 2002

For: MODIFIED PROTEINS, DESIGNER  
TOXINS, AND METHODS OF MAKING  
THEREOF



Group Art Unit: 1614

Examiner: Unknown

Atty. Dkt. No.: CLFR:007US/GNS

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| May 13, 2002   |                  |
| Date   | Gina N. Shishima |

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated April 26, 2002, there are enclosed herewith:

- (a) Declaration executed on behalf of Michael G. Rosenblum and Lawrence Cheung;
- (b) A Power of Attorney on behalf of Research Development Foundation;
- (c) Our check in the amount of \$435.00 to cover the basic filing fee (\$370.00 - utility small entity); surcharge for late filing (\$65.00);

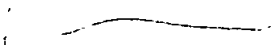
- (d) Our check in the amount of \$780.00 for the additional claims fee; and
- (e) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to Research Development Foundation and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10201454/GNS.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

  
Gina N. Shishima  
Reg. No. 45,104  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: May 13, 2002